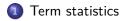
#### Text statistics

September 12, 2023

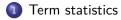
(Chapter 5.1 IIR)

#### Overview





## Outline





## The Reuters collection

symbol	statistic	value
N	documents	800,000
L	avg. $\#$ word tokens per document	200
М	word types	400,000
	avg. # bytes per word token (incl. spaces/punct.)	6
	avg. # bytes per word token (without spaces/punct.)	4.5
	avg. $\#$ bytes per word type	7.5
Т	non-positional postings	100,000,000

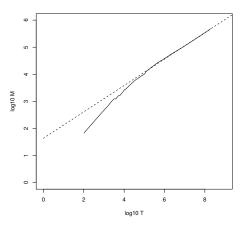
#### How big is the term vocabulary?

- That is, how many distinct words are there?
- Can we assume there is an upper bound?
- Not really: At least  $70^{20} \approx 10^{37}$  different words of length 20.
- The vocabulary will keep growing with collection size.
- Heaps' law:

$$M = kT^b \tag{1}$$

- *M* is the size of the vocabulary, *T* is the number of tokens in the collection.
- Typical values for the parameters k and b are: 30 ≤ k ≤ 100 and b ≈ 0.5.
- Heaps' law is linear in log-log space.
  - It is the simplest possible relationship between collection size and vocabulary size in log-log space.
  - Empirical law

#### Heaps' law for Reuters



Vocabulary size M as a function of collection size T (number of tokens)

$$M = kT^b \tag{2}$$

the best least squares fit for Reuters-RCV1.

$$\log_{10} M = 0.49 * \log_{10} T + 1.64$$
 (3)

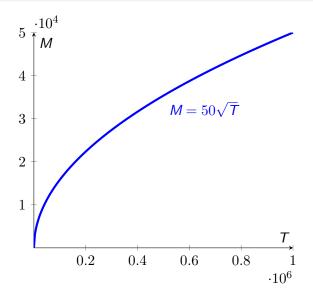
In other words,

$$M = 10^{1.64} T^{0.49} \tag{4}$$

$$k = 10^{1.64} \approx 44 \tag{5}$$

$$b = 0.49$$
 (6)

## Heaps' law without loglog scale



## Empirical fit for Reuters

• Example: for the first 1,000,020 tokens Heaps' law predicts 38,323 terms:

 $44 \times 1,000,020^{0.49} \approx 38,323$ 

- The actual number is 38,365 terms, very close to the prediction.
- Empirical observation: fit is good in general.

#### Exercise

- What is the effect of including spelling errors vs. automatically correcting spelling errors on Heaps' law?
- Ompute vocabulary size M
  - Looking at a collection of web pages, you find that there are 3000 different terms in the first 10,000 tokens and 30,000 different terms in the first 1,000,000 tokens.
  - Assume a search engine indexes a total of 20,000,000,000  $(2 \times 10^{10})$  pages, containing 200 tokens on average per page
  - What is the size of the vocabulary of the indexed collection as predicted by Heaps' law?

## Outline





## Zipf's law

- Now we have characterized the growth of the vocabulary in collections.
- We also want to know how many frequent vs. infrequent terms we should expect in a collection.
- In natural language, there are a few very frequent terms and very many very rare terms.
- Zipf's law: The *i*<sup>th</sup> most frequent term has frequency cf<sub>*i*</sub> proportional to 1/i.

$$\mathrm{cf}_i \propto \frac{1}{i}$$
 (7)

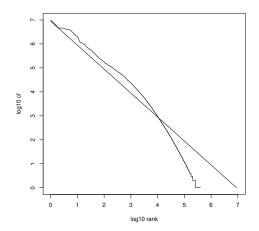
• cf<sub>*i*</sub> is collection frequency: the number of occurrences of the term *t<sub>i</sub>* in the collection.

#### Zipf's law

$$\mathrm{cf}_i \propto \frac{1}{i}$$
 (8)

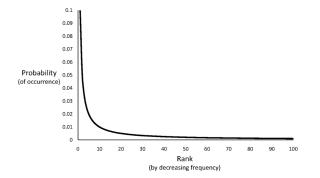
- If the most frequent term (*the*) occurs  $cf_1$  times, then the second most frequent term (*of*) has half as many occurrences  $cf_2 = \frac{1}{2}cf_1$  ...
- ...and the third most frequent term (and) has a third as many occurrences  $cf_3 = \frac{1}{3}cf_1$  etc.
- Equivalent:  $cf_i = ci^k$  and  $\log cf_i = \log c + k \log i$  (for k = -1)
- Example of a power law

### Zipf's law for Reuters

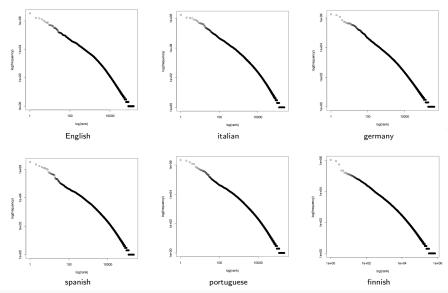


Fit is not great. What is important is the key insight: Few frequent terms, many rare terms.

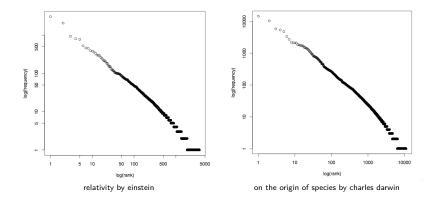
## Zipf's law if not log-loged



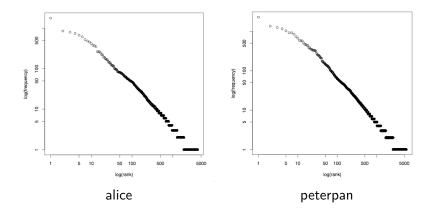
# Zipf's law in different languages (parliament documents)

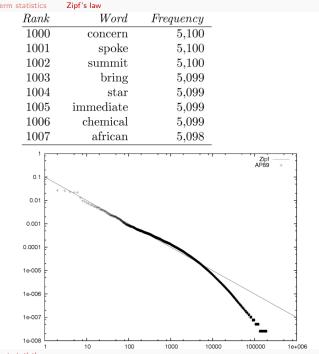


## Zipf's law in scientific writing



#### Zipf's law for kid's books





Zipf's law Term statistics

11 5	latistics	Zipi si	Idw							
	Word	Freq.	r	$P_{r}(\%)$	$r.P_r$	Word	Freq	r	$P_r(\%)$	$r.P_r$
	the	2,420,778	1	6.49	0.065	has	136,007	26	0.37	0.095
	of	1,045,733	2	2.80	0.056	are	130,322	27	0.35	0.094
	to	968,882	3	2.60	0.078	not	127,493	28	0.34	0.096
	a	892,429	4	2.39	0.096	who	116,364	29	0.31	0.090
	and	865,644	5	2.32	0.120	they	111,024	30	0.30	0.089
	in	847,825	6	2.27	0.140	its	111,021	31	0.30	0.092
	said	504,593	7	1.35	0.095	had	103,943	32	0.28	0.089
	for	363,865	8	0.98	0.078	will	102,949	33	0.28	0.091
	that	347,072	9	0.93	0.084	would	99,503	34	0.27	0.091
	was	293,027	10	0.79	0.079	about	92,983	35	0.25	0.087
	on	291,947	11	0.78	0.086	i	92,005	36	0.25	0.089
	he	250,919	12	0.67	0.081	been	88,786	37	0.24	0.088
	is	245,843	13	0.65	0.086	this	87,286	38	0.23	0.089
	with	223,846	14	0.60	0.084	their	84,638	39	0.23	0.089
	at	210,064	15	0.56	0.085	new	83,449	40	0.22	0.090
	by	209,586	16	0.56	0.090	or	81,796	41	0.22	0.090
	it	195,621	17	0.52	0.089	which	80,385	42	0.22	0.091
	from	189,451	18	0.51	0.091	we	80,245	43	0.22	0.093
	as	181,714	19	0.49	0.093	more	76,388	44	0.21	0.090
	be	157,300	20	0.42	0.084	after	75,165	45	0.20	0.091

0.41 0.087

0.40 0.092

0.38 0.092

us 0.41 0.090 percent

up

one 0.38 0.094 people 72,045 46

71,956 47

71,082 48

70,266 49

68,988 50

0.19	0.089
0.19	0.091
0.19	0.092
0.19	0.092
0.19	0.093

were

have

his

but

an

153,913 21

149,749 23

140,880 25

152,576 22

142,285 24

## Zipf's law is a type of power law

- degree distribution of
  - the web,
  - online social networks,
  - citation networks
  - software networks
- wealth distribution
- there are variants of the power law, such as Mandelbrot law.