

evaluation

Most slides are from Schütze, Center for Information and Language Processing,
University of Munich

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Overview

- 1 Introduction
- 2 Unranked evaluation
- 3 Ranked evaluation
- 4 Benchmarks
- 5 Result summaries

Take-away today

- Introduction to evaluation: Measures of an IR system
- Evaluation of unranked and ranked retrieval
- Evaluation benchmarks
- Result summaries

Outline

- 1 Introduction
- 2 Unranked evaluation
- 3 Ranked evaluation
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Measures for a search engine

- How fast does it index
 - e.g., number of bytes per hour
- How fast does it search
 - e.g., latency as a function of queries per second
- What is the cost per query?
 - in dollars

Measures for a search engine

- All of the preceding criteria are **measurable**: we can quantify speed / size / money
- However, the key measure for a search engine is **user happiness**.
- What is user happiness?
- Factors include:
 - Speed of response
 - Size of index
 - Uncluttered UI
 - Most important: **relevance**
 - (actually, maybe even more important: it's free)
- Note that none of these is sufficient: blindingly fast, but useless answers won't make a user happy.
- **How can we quantify user happiness?**

Who is the user?

- Who is the user we are trying to make happy?
- Web search engine: searcher.
 - Success: Searcher finds what she was looking for.
 - **Measure: rate of return to this search engine**
- Web search engine: advertiser.
 - Success: Searcher clicks on ad.
 - **Measure: clickthrough rate**
- Ecommerce: buyer.
 - Success: Buyer buys something.
 - **Measures: time to purchase, fraction of "conversions" of searchers to buyers**
- Ecommerce: seller.
 - Success: Seller sells something.
 - **Measure: profit per item sold**
- Enterprise: CEO.
 - Success: Employees are more productive (because of effective search).
 - **Measure: profit of the company**

Most common definition of user happiness: Relevance

- User happiness is equated with the relevance of search results to the query.
- But how do you measure relevance?
- Standard methodology in information retrieval consists of three elements.
 - A benchmark document collection
 - A benchmark suite of queries
 - An assessment of the relevance of each query-document pair

Relevance: query vs. information need

- Relevance to **what?**
- First take: relevance to the query
- “Relevance to the query” is very problematic.
- **Information need i** : “I am looking for information on whether drinking red wine is more effective at reducing your risk of heart attacks than white wine.”
- This is an information need, not a query.
- **Query q** : [red wine white wine heart attack]
- Consider document d' : *At the heart of his speech was an attack on the wine industry lobby for downplaying the role of red and white wine in drunk driving.*
- d' is an excellent match for query q ...
- d' is **not** relevant to the information need i .

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Precision and recall

- Precision (P) is the fraction of retrieved documents that are relevant

$$\text{Precision} = \frac{\#(\text{relevant items retrieved})}{\#(\text{retrieved items})} = P(\text{relevant}|\text{retrieved})$$

- Recall (R) is the fraction of relevant documents that are retrieved

$$\text{Recall} = \frac{\#(\text{relevant items retrieved})}{\#(\text{relevant items})} = P(\text{retrieved}|\text{relevant})$$

Precision and recall

	Relevant	Nonrelevant
Retrieved	true positives (TP)	false positives (FP)
Not retrieved	false negatives (FN)	true negatives (TN)

$$P = TP / (TP + FP)$$

$$R = TP / (TP + FN)$$

Precision/recall tradeoff

- You can increase recall by returning more docs.
- Recall is a non-decreasing function of the number of docs retrieved.
- A system that returns all docs has 100% recall!
- The converse is also true (usually): It's easy to get high precision for very low recall.

A combined measure: F

- F allows us to trade off precision against recall.
-

$$F = \frac{1}{\alpha \frac{1}{P} + (1 - \alpha) \frac{1}{R}} = \frac{(\beta^2 + 1)PR}{\beta^2 P + R} \quad \text{where} \quad \beta^2 = \frac{1 - \alpha}{\alpha}$$

- $\alpha \in [0, 1]$ and thus $\beta^2 \in [0, \infty]$
- Most frequently used: **balanced F** with $\beta = 1$ or $\alpha = 0.5$
 - This is the **harmonic mean** of P and R : $\frac{1}{F} = \frac{1}{2}(\frac{1}{P} + \frac{1}{R})$

Example for precision, recall, F1

	relevant	not relevant	
retrieved	20	40	60
not retrieved	60	1,000,000	1,000,060
	80	1,000,040	1,000,120

- $P = 20 / (20 + 40) = 1/3$
- $R = 20 / (20 + 60) = 1/4$
- $F_1 = 2 \frac{1}{\frac{1}{3} + \frac{1}{4}} = 2/7$

Accuracy

- Why do we use complex measures like precision, recall, and F ?
- Why not something simple like accuracy?
- Accuracy is the fraction of decisions (relevant/nonrelevant) that are correct.
- In terms of the contingency table above,
accuracy = $(TP + TN)/(TP + FP + FN + TN)$.

Exercise

- Compute precision, recall and F_1 for this result set:

	relevant	not relevant
retrieved	18	2
not retrieved	82	1,000,000,000

- The snoogle search engine below always returns 0 results (“0 matching results found”), regardless of the query. Why does snoogle demonstrate that accuracy is not a useful measure in IR?

The logo for snoogle.com, where the letters 's', 'n', 'o', 'o', 'g', 'l', 'e', and 'c' are blue, and 'o', 'o', 'l', 'e', and 'm' are red. The '.com' part is in a smaller, blue, sans-serif font.

Search for:

0 matching results found.

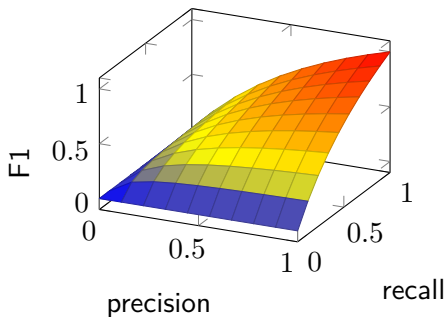
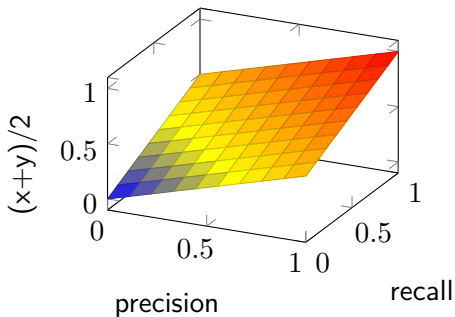
Why accuracy is a useless measure in IR

- Simple trick to maximize accuracy in IR: always say no and return nothing
- You then get 99.99% accuracy on most queries.
- Searchers on the web (and in IR in general) **want to find something** and have a certain tolerance for junk.
- It's better to return some bad hits as long as you return something.
- → We use precision, recall, and F for evaluation, not accuracy.

F: Why harmonic mean?

- Why don't we use a different mean of P and R as a measure?
 - e.g., the arithmetic mean
- The simple (arithmetic) mean is close to 50% for snoogle search engine – which is too high.
- Desideratum: Punish really bad performance on either precision or recall.
- Taking the minimum achieves this.
- But minimum is not smooth and hard to weight.
- F (harmonic mean) is a kind of smooth minimum.

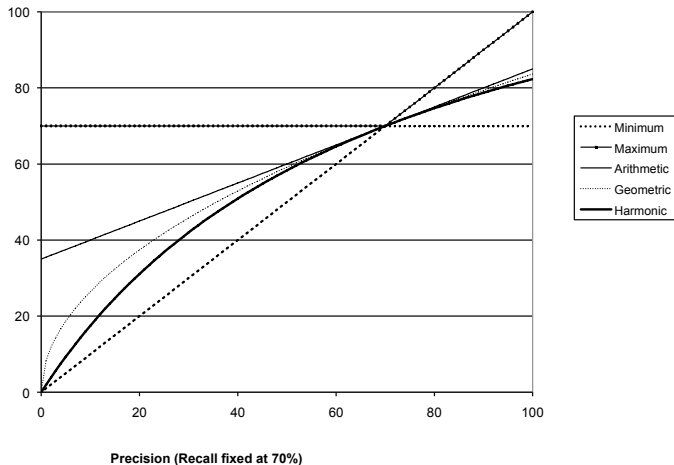
F1—why harmonic mean



- F1 is large only if both precision and recall are large
- the smallest value dominates the F1 value

F_1 and other averages (recall=0.7)

- We can view the harmonic mean as a kind of soft minimum



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Precision-recall curve

- Precision/recall/F are measures for **unranked sets**.
- We can easily turn set measures into measures of **ranked lists**.
- Just compute the set measure for each “prefix”: the top 1, top 2, top 3, top 4 etc results
- Doing this for precision and recall gives you a **precision-recall curve**.

which ranking is better

- if unranked, two search results are the same. precision= $6/10$, recall is 1.
- for ranked return, ranking 1 is better. how to quantify it?











 = the relevant documents

Ranking #1										
Recall	0.17	0.17	0.33	0.5	0.67	0.83	0.83	0.83	0.83	1.0
Precision	1.0	0.5	0.67	0.75	0.8	0.83	0.71	0.63	0.56	0.6
Ranking #2										
Recall	0.0	0.17	0.17	0.17	0.33	0.5	0.67	0.67	0.83	1.0
Precision	0.0	0.5	0.33	0.25	0.4	0.5	0.57	0.5	0.56	0.6











method 1: precision at rank k

 = the relevant documents

Ranking #1

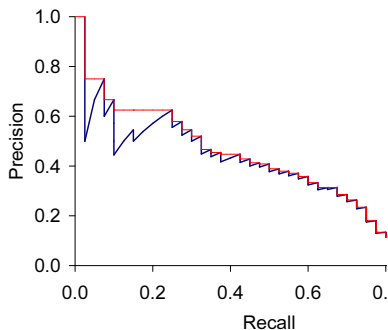
										
Recall	0.17	0.17	0.33	0.5	0.67	0.83	0.83	0.83	0.83	1.0
Precision	1.0	0.5	0.67	0.75	0.8	0.83	0.71	0.63	0.56	0.6

Ranking #2

										
Recall	0.0	0.17	0.17	0.17	0.33	0.5	0.67	0.67	0.83	1.0
Precision	0.0	0.5	0.33	0.25	0.4	0.5	0.57	0.5	0.56	0.6

- precision at rank 5: ranking1=0.8, ranking2=0.4
- precision at rank 10: ranking1=0.6, ranking2=0.6

method 2: precision-recall curve



- Each point corresponds to a result for the top k ranked hits ($k = 1, 2, 3, 4, \dots$).
- **Interpolation (in red): Take maximum of all future points**

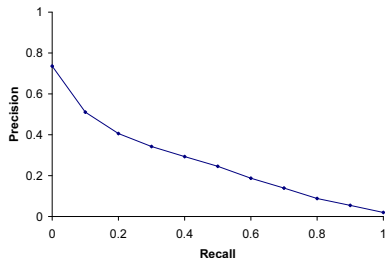
11-point interpolated average precision

Recall	Interpolated Precision
0.0	1.00
0.1	0.67
0.2	0.63
0.3	0.55
0.4	0.45
0.5	0.41
0.6	0.36
0.7	0.29
0.8	0.13
0.9	0.10
1.0	0.08

11-point average: \approx
0.425

How can precision
at 0.0 be > 0 ?

Averaged 11-point precision/recall graph



- Compute interpolated precision at recall levels 0.0, 0.1, 0.2, ...
- Do this for each of the queries in the evaluation benchmark
- Average over queries
- This measure measures performance **at all recall levels**.
- The curve is typical of performance levels at TREC.
- Note that performance is not very good!

method 3: average precision











take precision values only when recall increases

$$\text{averagePrecision}(\text{ranking1}) = (1 + 0.67 + 0.75 + 0.8 + 0.83 + 0.6) / 6 = 0.78$$











$$\text{averagePrecision}(\text{ranking2}) = (0.5 + 0.4 + 0.5 + 0.57 + 0.56 + 0.6) / 6 = 0.52$$

 = the relevant documents


Ranking #1

										
Recall	0.17	0.17	0.33	0.5	0.67	0.83	0.83	0.83	0.83	1.0
Precision	1.0	0.5	0.67	0.75	0.8	0.83	0.71	0.63	0.56	0.6











Ranking #2


										
Recall	0.0	0.17	0.17	0.17	0.33	0.5	0.67	0.67	0.83	1.0
Precision	0.0	0.5	0.33	0.25	0.4	0.5	0.57	0.5	0.56	0.6

averaging across queries (MAP mean average precision)











 = relevant documents for query 1

Ranking #1

										
Recall	0.2	0.2	0.4	0.4	0.4	0.6	0.6	0.6	0.8	1.0
Precision	1.0	0.5	0.67	0.5	0.4	0.5	0.43	0.38	0.44	0.5

 = relevant documents for query 2

Ranking #2

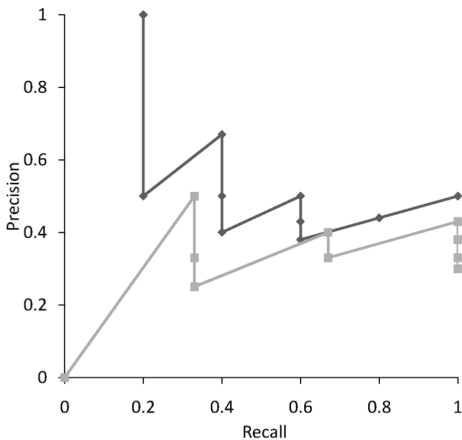
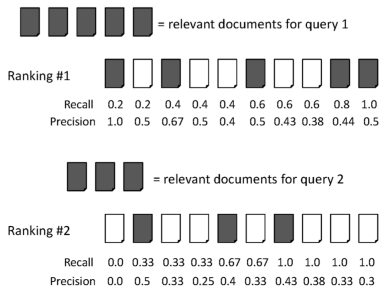
										
Recall	0.0	0.33	0.33	0.33	0.67	0.67	1.0	1.0	1.0	1.0
Precision	0.0	0.5	0.33	0.25	0.4	0.33	0.43	0.38	0.33	0.3

$$\text{average precision query 1} = (1.0 + 0.67 + 0.5 + 0.44 + 0.5)/5 = 0.62$$

$$\text{average precision query 2} = (0.5 + 0.4 + 0.43)/3 = 0.44$$

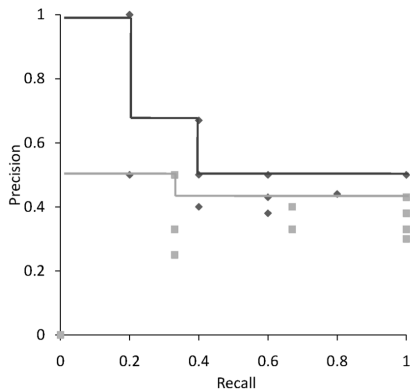
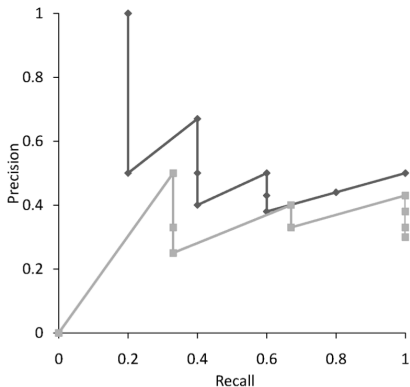
$$\text{mean average precision} = (0.62 + 0.44)/2 = 0.53$$

recall precision graph



Question: how to plot the average of these two curves?

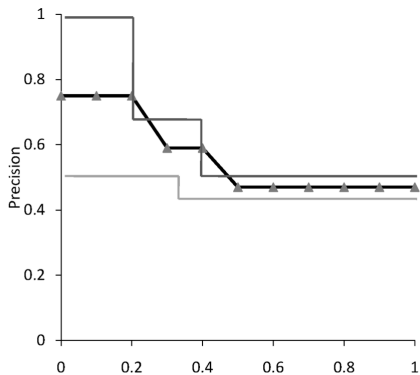
interpolated recall precision curve



interpolated precision P at the recall R is

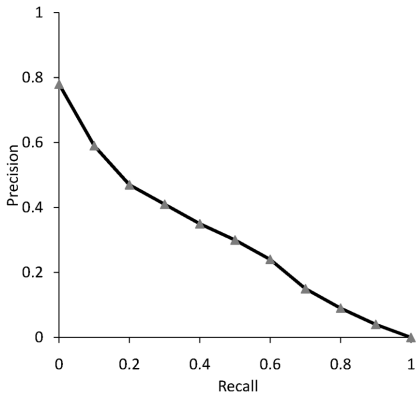
$$P(R) = \max\{P' : R' \geq R \wedge (R', P') \in S\} \quad (1)$$

averaging multiple queries



	Recall										
Recall	0.0	0.1	0.2	0.3	0.4	0.5	0.6	0.7	0.8	0.9	1.0
Ranking 1	1.0	1.0	1.0	0.67	0.67	0.5	0.5	0.5	0.5	0.5	0.5
Ranking 2	0.5	0.5	0.5	0.5	0.43	0.43	0.43	0.43	0.43	0.43	0.43
Average	0.75	0.75	0.75	0.59	0.47	0.47	0.47	0.47	0.47	0.47	0.47

graph for 50 queries



Variance of measures like precision/recall

- For a test collection, it is usual that a system does badly on some information needs (e.g., $P = 0.2$ at $R = 0.1$) and really well on others (e.g., $P = 0.95$ at $R = 0.1$).
- Indeed, it is usually the case that the **variance of the same system across queries** is much **greater than the variance of different systems on the same query**.
- That is, there are easy information needs and hard ones.

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What we need for a benchmark

- A collection of documents
 - Documents should be representative of the documents we expect to see in reality.
- A collection of information needs (often incorrectly called queries)
 - Information needs should be representative of the information needs we expect to see in reality.
- Human relevance assessments
 - We need to hire/pay “judges” or assessors to do this.
 - Expensive, time-consuming
 - Judges should be representative of the users we expect to see in reality.

First standard relevance benchmark: Cranfield

- Pioneering: first testbed allowing precise quantitative measures of information retrieval effectiveness
- Late 1950s, UK
- 1398 abstracts of aerodynamics journal articles, a set of 225 queries, exhaustive relevance judgments of all query-document-pairs
- Too small, too untypical for serious IR evaluation today

Second-generation relevance benchmark: TREC

- TREC = Text Retrieval Conference (TREC)
- Organized by the U.S. National Institute of Standards and Technology (NIST)
- TREC is actually a set of several different relevance benchmarks.
- Best known: TREC Ad Hoc, used for first 8 TREC evaluations between 1992 and 1999
- 1.89 million documents, mainly newswire articles, 450 information needs
- No exhaustive relevance judgments – too expensive
- Rather, NIST assessors' relevance judgments are available only for the documents that were among the top k returned for some system which was entered in the TREC evaluation for which the information need was developed.

Example of more recent benchmark: ClueWeb09

- 1 billion web pages
- 25 terabytes (compressed: 5 terabyte)
- Collected January/February 2009
- 10 languages
- Unique URLs: 4,780,950,903 (325 GB uncompressed, 105 GB compressed)
- Total Outlinks: 7,944,351,835 (71 GB uncompressed, 24 GB compressed)

even bigger data: commonCrawl

- crawls the web four times a year
- billions of pages
- hosted in amazon aws.
- Open source code for processing Common Crawl's data set is publicly available.

Validity of relevance assessments

- Relevance assessments are only usable if they are **consistent**.
- If they are not consistent, then there is no “truth” and experiments are not repeatable.
- How can we measure this consistency or agreement among judges?
- → Kappa measure

Kappa measure

- Kappa is measure of how much judges agree or disagree.
- Designed for categorical judgments
- Corrects for chance agreement
- $P(A)$ = proportion of time judges agree
- $P(E)$ = what agreement would we get by chance
-

$$\kappa = \frac{P(A) - P(E)}{1 - P(E)}$$

- Values of κ in the interval $[2/3, 1.0]$ are seen as acceptable.
- With smaller values: need to redesign relevance assessment methodology used etc.

Calculating the kappa statistic

		Judge 2 Relevance			
		Yes	No	Total	
Judge 1 Relevance	Yes	300	20	320	Observed proportion of
	No	10	70	80	
	Total	310	90	400	

the times the judges agreed

$$P(A) = (300 + 70)/400 = 370/400 = 0.925$$

Pooled marginals

$$P(\text{nonrelevant}) = (80 + 90)/(400 + 400) = 170/800 = 0.2125$$

$$P(\text{relevant}) = (320 + 310)/(400 + 400) = 630/800 = 0.7878$$

Probability that the two judges agreed by chance $P(E) =$

$$P(\text{nonrelevant})^2 + P(\text{relevant})^2 = 0.2125^2 + 0.7878^2 = 0.665$$

Kappa statistic

$$\kappa = (P(A) - P(E))/(1 - P(E)) = (0.925 - 0.665)/(1 - 0.665) = 0.776$$

(still in acceptable range)

Interjudge agreement at TREC

information need	number of docs judged	disagreements
51	211	6
62	400	157
67	400	68
95	400	110
127	400	106

Impact of interjudge disagreement

- Judges disagree a lot. Does that mean that the results of information retrieval experiments are meaningless?
- No.
- Large impact on absolute performance numbers
- Virtually no impact on ranking of systems
- Supposes we want to know if algorithm A is better than algorithm B
- An information retrieval experiment will give us a reliable answer to this question ...
- ...even if there is a lot of disagreement between judges.

Evaluation at large search engines

- Recall is difficult to measure on the web
- Search engines often use precision at top k , e.g., $k = 10$...
- ...or use measures that reward you more for getting rank 1 right than for getting rank 10 right.
- Search engines also use non-relevance-based measures.
 - Example 1: clickthrough on first result
 - Not very reliable if you look at a single clickthrough (you may realize after clicking that the summary was misleading and the document is nonrelevant) ...
 - ...but pretty reliable in the aggregate.
 - Example 2: A/B testing

A/B testing

- Purpose: Test a single innovation
- Prerequisite: You have a large search engine up and running.
- Have most users use old system
- Divert a small proportion of traffic (e.g., 1%) to the new system that includes the innovation
- Evaluate with an “automatic” measure like clickthrough on first result
- Now we can directly see if the innovation does improve user happiness.
- Probably the evaluation methodology that large search engines trust most

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How do we present results to the user?

- Most often: as a list – aka “10 blue links”
- How should each document in the list be described?
- Most commonly: doc title, url, some metadata ...
- ...and a summary
- How do we “compute” the summary?

Summaries

- Two basic kinds: (i) static (ii) dynamic
- A **static summary** of a document is always the same, regardless of the query that was issued by the user.
- **Dynamic summaries** are **query-dependent**. They attempt to explain why the document was retrieved for the query at hand.

Static summaries

- In typical systems, the static summary is a subset of the document.
- Simplest heuristic: the first 50 or so words of the document
- More sophisticated: extract from each document a set of “key” sentences
 - Simple NLP heuristics to score each sentence
 - Summary is made up of top-scoring sentences.
 - Machine learning approach: see IIR 13
- Most sophisticated: complex NLP to synthesize/generate a summary
 - For most IR applications: not quite ready for prime time yet

Dynamic summaries

- Present one or more “windows” or **snippets** within the document that contain several of the query terms.
- Prefer snippets in which query terms occurred as a phrase
- Prefer snippets in which query terms occurred jointly in a small window
- The summary that is computed this way gives the entire content of the window – all terms, not just the query terms.

Google dynamic summaries for [vegetarian diet running]

[No Meat Athlete | Vegetarian Running and Fitness](#)

www.nomeatathlete.com/ ▾

Vegetarian Running and Fitness. ... (Oh, and did I mention Rich did it all on a plant-based **diet**?) In this episode of No Meat Athlete Radio, Doug and I had the ...
[Vegetarian Recipes for Athletes](#) - [Vegetarian Shirts](#) - [How to Run Long](#) - [About](#)

[Running on a vegetarian diet – Top tips | Freedom2Train Blog](#)

www.freedom2train.com/blog/?p=4 ▾

Nov 8, 2012 – In this article we look to tackle the issues faced by long distance runners on a **vegetarian diet**. By its very nature, a **vegetarian diet** can lead to ...

[HowStuffWorks "5 Nutrition Tips for Vegetarian Runners"](#)

www.howstuffworks.com/.../running/.../5-nutrition-tips-for-vegetarian-r... ▾

Even without meat, you can get enough fuel to keep on **running**. Stockbyte/Thinkstock ... Unfortunately, a **vegetarian diet** is not a panacea for runners. It could, for ...

[Nutrition Guide for Vegetarian and Vegan Runners - The Running Bug](#)

therunningbug.co.uk/.../nutrition-guide-for-vegetarian-and-vegan-runne... ▾

Feb 28, 2012 – The **Running Bug**'s guide to nutrition for vegetarian and vegan ... different types of **vegetarian diet** ranging from lacto-ovo-vegetarians who eat ...

[Vegetarian Runner](#)

www.vegetarianrunner.com/ ▾

Vegetarian Runner - A resource center for vegetarianism and **running** and how to make sure you have proper nutrition as an athlete with a **vegetarian diet**.

- Good example that snippet selection is non-trivial.
- Criteria:
 - occurrence of keywords, density of keywords, coherence of snippet, number of different snippets in summary, good cutting points etc

Take-away today

- Introduction to evaluation: Measures of an IR system
- Evaluation of unranked and ranked retrieval
- Evaluation benchmarks
- Result summaries